

Canara Bank Securities Limited

(A Wholly Owned Subsidiary of Canara Bank)

Member : BSE,NSE; Depository Participant : NSDL



JOB DESCRIPTION – JUNIOR OFFICER ON CONTRACT/ OFFICER / ASSISTANT MANAGER IN RISK MANAGEMENT

Particulars	Details
Department	Risk Management
Experience	- For Junior Officer on Contract: Min 2 years in broking firm - For Officer: Min 3 years in broking firm. - For Assistant Manager: Min 5 years in broking firm
Location of Posting	Mumbai
Job Description / Responsibilities	- Overseeing end-to-end surveillance activities across equity, derivatives, and DP operations; - Monitoring and reviewing alerts generated from exchange surveillance systems (NSE/BSE) and internal tools; - Identifying and investigating suspicious trading activities such as price manipulation, insider trading, front running, circular trading, etc.; - Ensuring timely analysis, closure, and reporting of surveillance alerts to exchanges; - Supervising maintenance of proper documentation and audit trail for all alerts and cases; - Coordinating with Compliance for regulatory reporting including STR (Suspicious Transaction Reporting) wherever applicable; - Monitoring client risk parameters, margin breaches, position limits, and exposure norms; - Preparing and reviewing MIS, exception reports, and surveillance dashboards for management; - Handling regulatory inspections, internal/external audits, and exchange queries; - Framing and updating internal surveillance policies, SOPs, and control mechanisms in line with SEBI and exchange guidelines; - Providing guidance and support to junior team members
Job Specific Skills	- Strong knowledge of stock market operations (Equity, F&O, DP); - In-depth understanding of SEBI, Exchange (NSE/BSE) surveillance framework and regulations; - Experience in handling exchange alerts and regulatory reporting; - Analytical mindset with ability to interpret large datasets and trading patterns; - Proficiency in MS Excel and surveillance / risk management systems; - Strong attention to detail and decision-making ability; - Good communication, documentation, and stakeholder management skills; - Leadership and team handling capability
Educational Qualification	Graduate / Postgraduate in Commerce / Finance / Management (Certifications like NISM preferred)
CTC Offered	As per company policy
How to Apply	Applications should be submitted on email: applications@canmoney.in Subject: Please mention “ Application for Risk Management ”. No application shall be accepted with other subject.
Website	www.canmoney.in